

Human Development Profile of India (HDPI) 1993-1994 and HDPI-IHDS Linkage File



DATA USE AGREEMENT

The India Human Development Survey (IHDS) began in 2004-05 and to date (2010) has collected one round of data. Public use data files have been available since 30 July 2008 from the Inter-University Consortium for Political and Social Research (ICPSR): (http://www.icpsr.umich.edu/cocoon/DSDR/STUDY/22626.xml). Use of these data requires registration, but data access is available free of charge.

Part of the sample for IHDS was drawn from an earlier study conducted by the National Council of Applied Economic Research (NCAER). NCAER also collaborated in organizing IHDS. The 1993-94 survey, known as Human Development Profile of India (HDPI), was conducted solely by NCAER and is available for use by researchers interested in studying changes over time. These data consist of two sets of files:

- (1) STATA files and documentation for 33,230 households surveyed by NCAER in 1993-94 and known as Human Development Profile of India Survey; and,
- (2) A linkage file containing identification variables for 1993-94 and 2004-2005 surveys which allow for household level linkages for 13081 households interviewed in both surveys. Since IHDS interviewed 10791 households from HDPI as well as 2290 households separated from the original HDPI households, panel data are available for 13081 IHDS households.

However, researchers interested in using these data should note two caveats:

- (1) When HDPI data were collected in 1993-94, there was no expectation of public release. Consequently, documentation has not been carefully maintained. Hence, while all documentation available to the research team is being placed in the public domain, substantial gaps exist and no user support can be provided; and,
- (2) In order to maintain confidentiality, only limited contextual information is available. In order to safeguard the confidentiality of **IHDS and HDPI** respondents, all publicly available data sets do not include community-level identifiers, which might reveal respondents' location. This condition has been developed to meet the requirements for the ethical treatment of human subjects set forth by the Ethics Review Committee of the National Council of Applied Economic Research, Delhi and the Institutional Review Board of the University of Maryland, College Park, USA.

While the panel data are stripped of as much identifying information as possible, access to data at two time points along with some of the other household level data may make it feasible to identify individual households in some cases. Since a breach of confidentiality could jeopardize current and future research efforts, it is especially important that users recognize the need for confidentiality. Hence, it is necessary that potential users of panel data agree to the guidelines and restrictions listed below. By signing this agreement, the person requesting panel data agrees to abide by all listed guidelines and restrictions, and acknowledges that any violation of the terms of this agreement may result in punitive action.

TERMS OF AGREEMENT

In order to protect the anonymity of respondents to the Human Development Profile of India and the India Human Development Survey, the undersigned individual researcher, who is applying to receive Human Development Profile of India data, (hereinafter called "confidential data"), and also his/her parent institution (center, department, or other legally established entity), agree to abide by the following guidelines:

- A. The undersigned researcher will not share these confidential data with anyone whose name does not appear in document 2 referred to in article E, below. "Sharing" includes not only providing data as received from **IHDS** staff, but also providing secondary information obtained from the confidential data. This is not meant to hinder publication of the results of analyzing confidential data, but publication must not risk the violation of respondents' anonymity.
- B. The researcher will use confidential data only for the purposes he/she outlines in document 1 referred to in article E, below.
- C. The researcher will use confidential data only on the premises of the department or institute whose chair or director has signed this agreement. Before these data may be moved to another department or institute, a new agreement specific to the new location must be submitted for approval, including all applicable signatures.
- D. The researcher affirms that he/she has the capacity to restrict access to confidential data. Such capacity may include features of a computer operating system, software that removes electronic images of data from physical storage devices, locking cabinets to store the disks or tapes on which confidential data, including back-up copies, are written, and the training of personnel who will handle confidential data. The India Human Development Survey reserves the right to judge the adequacy of such protections.
- E. The researcher encloses with this agreement three documents:
- A brief description of the research he/she intends to undertake using confidential **HDPI and IHDS** data;
- A list of the names and organizational affiliations of all those with whom he/she will engage in this research; and
- A description of the means by which he/she will restrict access to confidential **HDPI and IHDS** data, as outlined in article D, above.
- F. The researcher will report to Dr. Sonalde Desai (<u>sdesai@umd.edu</u>) and IHDS Project Manager (ihdsinfo@gmail.com) any breach of this agreement within 15 days of his/her discovery of such breach.

Within approximately two weeks of receiving a completed copy of this agreement, **IHDS** staff will notify the undersigned researcher of their decision whether to approve the nature of the proposed research and the means by which the researcher will restrict access to confidential data.

If these items are approved, within another two weeks **IHDS** staff will send the requested data by email in zipped format. If the data files are too large to be sent via email, data will be sent by DHL from the National Council of Applied Economic Research in New Delhi on payment of \$100 to cover shipping charges.

This form must be completed in full and scanned and emailed, along with the supplemental documentation outlined in article E above, to:

Project Manager, India Human Development Survey, National Council of Applied Economic Research, New Delhi, 110 002, INDIA (ihdsinfo@gmail.com)

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DATA USE AGREEMENT

NAME AND TITLE OF RESEARCHER:
INSTITUTIONAL ADDRESS:
SIGNATURE OF RESEARCHER:
DATE OF SIGNING:
NAME OF DEPARTMENT OR INSTITUTE:
NAME AND TITLE OF DEPARTMENT CHAIR OR INSTITUTE DIRECTOR:
INSTITUTIONAL ADDRESS:
SIGNATURE OF CHAIR OR DIRECTOR:
DATE OF SIGNING:

Data Use Agreement for the Human Development Profile of India and Indian Human Development Survey